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SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT		AT	TORNEY DOCKET NO.	
08/480,472	06/06/95	MCDONOUGH				
00/700,7/2	00/00/33	MCDONOOGH		<u> </u>	213/066	
			-	EXAMINER		
•		HM31/0529	1			
RICHARD J WARBURG				LINGULAY	PAPER NUMBER	
LYON AND LY						
633 WEST FI	FTH STREET		ı			
└-6UITE 4700				DATE MATLED:		
LOS ANGELES	6 CA 900/1					
		•			05/29/98	

Please find below a communication from the EXAMINER in charge of this application.

**Commissioner of Patents** 

## Office Action Summary

Application No. 08/480,4702 Applicant(s)

McDonough et al.

Examiner

Group Art Unit

	Joyce Tung	1634
⊠ Responsive to communication(s) filed on 12/10/96 and	3/2/98	
☐ This action is <b>FINAL</b> .		
☐ Since this application is in condition for allowance excel in accordance with the practice under <i>Ex parte Quayle</i> ,	ot for formal matters, prosecutio 1935 C.D. 11; 453 O.G. 213.	n as to the merits is closed
A shortened statutory period for response to this action is a is longer, from the mailing date of this communication. Fai application to become abandoned. (35 U.S.C. § 133). Ext 37 CFR 1.136(a).	set to expire3 month(	for rooponos will assess the
Disposition of Claims		
X Claim(s) 24-42, 48-51, and 54-101	is/are p	ending in the application.
Of the above, claim(s)		
Claim(s)	is	are allowed
[Y] Claim(a) 24 42 49 54 and 54 404	is/	
☐ Claim(s)		•
☐ Claims	are subject to restriction	on or election requirement
Application Papers		or election requirement.
☐ See the attached Notice of Draftsperson's Patent Dra	wing Review PTO-948	
☐ The drawing(s) filed on is/are ob		
☐ The proposed drawing correction, filed on		lisapproved.
☐ The specification is objected to by the Examiner.	ioapproveda	iisapproved.
☐ The oath or declaration is objected to by the Examine.	r <b>.</b>	
Priority under 35 U.S.C. § 119		
Acknowledgement is made of a claim for foreign prior	ity under 35 U.S.C. § 119(a)-(d)	
☐ All ☐ Some* ☐ None of the CERTIFIED copie	s of the priority documents have	· · been
☐ received.	,	
received in Application No. (Series Code/Serial I	Number)	
$\square$ received in this national stage application from t	the International Bureau (PCT Ru	le 17.2(a)).
*Certified copies not received:		·
<ul> <li>Acknowledgement is made of a claim for domestic pri</li> </ul>	ority under 35 U.S.C. § 119(e).	
Attachment(s)		
☑ Notice of References Cited, PTO-892		
☐ Information Disclosure Statement(s), PTO-1449, Paper	No(s)	
<ul><li>Interview Summary, PTO-413</li><li>Notice of Draftsperson's Patent Drawing Review, PTO-</li></ul>	0.40	
☐ Notice of Informal Patent Application, PTO-152	•948	
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SEE OFFICE ACTION OF	N THE FOLLOWING PAGES	į

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#### Response to Amendment

1. The 35 U.S.C. 112, second paragraph rejection over claims 24-42, 48-51, 55 and 56 in paragraph 2 of paper N0.8 of the Office action mailed July 10, 1996 is withdrawn in light of the amendments of the response filed 12/10/96.

- 2. The 35 U.S.C. 112, first paragraph rejection over claims 24-38, 49, 51 and 56 in paragraph 3 of paper N0.8 of the Office action mailed July 10, 1996 is withdrawn in light of the amendments of the response filed 12/10/96.
- 3. The 35 U.S.C. 103 rejection over claims 24-42 and 48-51 and 54-56 in paragraph 4-7 of paper N0.8 of the Office action mailed July 10, 1996 is withdrawn in light of the amendments of the response filed 12/10/96.
- 4. The 35 U.S.C. 103 rejection over claims 57-101 in paragraph 4-6 of paper N0. 17 of the Office action mailed 10/01/97 is withdrawn in light of the amendments of the response filed 3/2/98.

#### **NEW GROUND OF REJECTION**

#### Double Patenting

5. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground

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provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

6. Claims 24-38 and 54 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-5, 11-12, 15, 17, 20-22, 24, 26 and 29-34 of U.S. patent no. 5,554,516. Although the conflicting claims are not identical, they are not patentably distinct from each other because Claims 24-38 and 54 in the instant application discloses a composition which comprises a target nucleic acid sequence, first and second oligonucleotide comprising two members wherein one of the oligonucleotide comprises promoter-primer or each of the oligonucleotide comprises promoter-primer and the 3' end of the member is modified to block extension of the oligonucleotides, RNA dependent DNA polymerase, DNA dependent DNA polymerase, RNAse H, helper oligonucleotide and DMSO. This is obvious in view of claims 1-5, 11-12, 15, 17, 20-22, 24, 26, 29-34 in the patent which recite a method and composition in which one or more promoter-primer are used and these primers are modified at 3' end to prevent nucleic acid extension and some of these primers are not modified, the method also includes helper oligonucleotides and the incubation is in presence of one or more DMSO.

### Claim Rejections - 35 USC § 112

7 The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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8. Claims 24-38, 49, 51, 54, 56-73, 79-83, 91 and 94-99 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

- a. Claims 24-38, 49, 51, 54 and 56 are confusing because of the language "a first promoter-primer" which is not clear whether or not there is a second promoter-primer on the same oligonucleotide.
- b. Claims 57-73 are confusing because of the language "selected from the group consisting of SEQ ID NO:s 3 and 8, and ..." which is improper Markush language in claims 57, 59 and 60. The same improper Markush language is in claims 67, 69 and 70.
- c. Claims 79-83, 91 and 96-97 are confusing because of the language "said region consisting of SEQ ID NO: 8 or the ..." in clams 79, 81, 91, 96 and 98. It is suggested that the claims be amended to "selected from the group consisting of ... and ...".

# NEW GROUND OF REJECTION NECESSITATED BY THE AMENDMENT Claim Rejections - 35 USC § 112

9. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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10. Claims 24-38, 49, 51, 54 and 56 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 24-38, 49, 51, 54 and 56 are confusing because of the language "at least two members" and "in common". It cannot be determined what is encompassed.

#### Allowable Subject Matter

- 11. Claims 39-42, 48, 50, 55-56 and 100-101 are free of the prior art.
- 12. Claims 24-38, 54 and 57-99 are free of the prior art, but are rejected for other reasons.
- 13. Any inquiries concerning this communication or earlier communications from the examiner should be directed to Joyce Tung whose telephone number is (703) 305-7112. The examiner can normally be reached on Monday-Friday from 6:30 AM-4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, W. Gary Jones, can be reached at (703) 308-1152.

Any inquiries of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0196.

14. Papers related to this application may be submitted to Group 1800 by facsimile transmission. Papers should be faxed to Group1634 via the PTO Fax Center located in Crystal Mall 1 using (703) 305-3014 or 305-4227. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989).

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Joyce Tung

KENNETH R. HORLICK
PRIMARY EXAMINER
GROUP 1650/600

Palak R. Hahd, Ph.D.